

# Research Report

## AN ADAPTIVE MODEL FOR OPTIMIZING PERFORMANCE OF AN INCREMENTAL WEB CRAWLER

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## **AN ADAPTIVE MODEL FOR OPTIMIZING PERFORMANCE OF AN INCREMENTAL WEB CRAWLER**

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**ABSTRACT:** This paper outlines the design of a web crawler implemented for IBM Almaden's WebFountain project and describes an optimization model for controlling the crawl strategy. This crawler is scalable and incremental. The model makes no assumptions about the statistical behaviour of web page changes, but rather uses an adaptive approach to maintain data on actual change rates which are in turn used as inputs for the optimization. Computational results with simulated but realistic data show that there is no 'magic bullet' - different, but equally plausible, objectives lead to conflicting 'optimal' strategies. However, we find that there are compromise objectives which lead to good strategies that are robust against a number of criteria.

**Keywords:** Crawler, Incremental Crawler, Scalability, Optimization

## 1. Introduction

Web crawlers are an essential component of all search engines, and are increasingly becoming important in data mining and other indexing applications, but they must function somewhere between the cushion of Moore's Law and the hard place of the exponential growth of the web. While some have questioned whether such exponential growth is currently being maintained [1], the trend towards automated production of web pages from databases makes it likely that such growth will continue, or even accelerate, in the immediate future. Given that the bandwidth for conducting crawls is neither infinite nor free it is becoming essential to crawl the web in a not only scalable, but *efficient* way if some reasonable measure of quality or freshness is to be maintained.

The WebFountain crawler to be outlined in this paper is designed to crawl the entire web repeatedly, keeping a local copy of up to 1 MB of the text of each page, plus metadata, in a *repository*, which is to be used for indexing, mining, etc. Furthermore this crawler is *incremental*, - that is, the repository copy of each page is updated as soon as the actual web page is crawled. However, even using this technique, the repository must always be out of date to some (we hope minimal) extent.

Our crawler, to be described in greater detail below, has as an essential feature a set of queues of URLs to be crawled, and several parameters which determine how URLs are to be selected from these queues. The values of these parameters must be determined in a way that leads to efficient crawling, and we present a model which computes these parameters in such a way as to optimize some objective related to freshness. This model assumes that the web is crawled for some variable, but pre-specified number of discretized time periods which together constitute a *cycle* of the crawler.

Several definitions of freshness, which is non-trivial to measure, have been proposed by various authors, but in this paper we take the view that a page in the repository is either up-to-date, or *obsolete* - that is, it no longer matches the page on the real web. Clearly it is desirable to minimize the number of such pages, but we shall see that such an objective can be formulated in a number of ways. Pages become obsolete when they change on the real web between crawls, but for simplicity we shall also consider the special case of new pages, of which we have no copy in the repository, but of which we become aware, either through new links, or exogenous information, and define them as also being obsolete.

A particular feature of the model we describe is that it makes no *a priori* assumptions about the way in which pages change, simply that we can measure when changes occur, and record the frequency with the pages' metadata. In this sense the model is *adaptive* in that the more cycles the crawler is in operation, the more reliable and refined is the data which we have available to drive it. For our purposes a page *change* is required to be non-trivial, as determined by a shingle (see [4]). Furthermore, while growth in the web changes the data in our model, it has no effect on the size of the model nor the solution time.

No previous work that we know of makes use of precisely this set of assumptions, but much of it has some bearing on our model. After a review of this work, we present a more detailed description of the crawler, followed by a mathematical description of the

optimization model for the model parameters. We then describe a series of computational experiments designed to test use of the model and some of its variants on simulated but realistic data.

## 2. Previous Work

There have been several studies of web crawling in its relatively short history, but most of them have had a focus rather different from ours. Some have concentrated on aspects relating to caching, eg [13] and [9]. Others have been principally interested in the most efficient and effective way to update a *fixed size* database extracted from the web, often for some specific function, such as data mining, see eg the work of Cho et al [5, 6, 7]. These studies were performed over time periods ranging from a few days to seven months. However, for differing practical reasons, these crawlers were restricted to subsets of web pages.

Several authors, eg Coffman et al[8] approach crawling from a theoretical point of view, comparing it to the polling systems of queueing theory ie multiple queue-single server systems. However, the problem equivalent to the obsolescence time of a page is unexplored in the queueing literature.

A common assumption has been that page changes are a Poisson or memoryless process, with parameter  $\lambda$  as the rate of change for the pages. Brewington and Cybenko[1] and Cho and Garcia-Molina[6] confirm this within the limits of their data gathering. This is somewhat undermined by another study, based on an extensive subset of the web by Brewington and Cybenko [2] showing that most web pages are modified during US working hours, ie 5am to 5pm (Silicon Valley Standard Time), Monday to Friday. Nevertheless, the widely accepted Poisson model forms the basis for a series of studies on crawler strategies. These lead to a variety of analytical models designed to minimize the age or maximize the freshness of a collection by investigating:

- how often a page should be crawled
- in what order pages should be crawled
- should a crawling strategy be based on the *importance* of pages or their rates of change?

The definitions or metrics for freshness, age and importance are not completely consistent, but in general the *freshness* of a page refers to the difference between the time of crawling a page and the current time, while the *age* of a page is the difference between the time when the page last changed and the current time. Widely differing metrics have been offered for the *importance* of a page, but as the total number of possible metrics is large and the crawler in this study does not currently use any of them, no formal definitions will be given here.

While differing in detail, the experimental results in the referenced papers agree on general trends, and in particular that:

- the average size of individual pages is growing
- the proportion of visual and other nontextual material is growing in comparison to text
- the number of pages has been growing exponentially ([1] gives two different estimates of 318 and 390 days for the web to double in size but also says that the growth rate is slowing. However see our comments at the beginning of Section 1.)
- different domains have very different page change rates
- the average age and lifetimes of pages are still quite low (cf Tables 1 and 2).

While all these trends are important for caching, the last three are more relevant to the study of whole web crawling to be discussed here.

## 2.1. Crawling Models

In a series of papers, Cho et al[5, 6, 7] address a number of issues relating to the design of effective crawlers. In [7] they examine different crawling strategies using the Stanford University web pages as a particular subset of the web and examine several scenarios with different physical limitations on the crawling. Their approach is to visit more important pages first and they describe a number of possible metrics for determining this as well as the order in which the chosen pages will be visited. They show that it is possible to build crawlers which can obtain a significant portion of important pages quickly using a range of metrics. Their model appears to be most useful when trying to crawl large portions of the web with limited resources or if pages need to be revisited often to detect changes.

Cho and Garcia-Molina[6] derive a series of mathematical models to determine the optimum strategy in a number of crawling scenarios, where the repository's extracted copy of the web is of fixed size. They examine models where all pages are deemed to change at the same average or *uniform* rate and where they change at different or *non-uniform* rates. For a real life crawler, the latter is more likely to be relevant. For each of these two cases, they examine a variety of synchronization or crawling policies. How often the repository web copy is updated depends on the crawling capacity or bandwidth available for the required number of pages. Within that limitation is the question of how often each individual page should be crawled to meet a particular objective such as maximizing freshness. Cho and Garcia-Molina examine a *uniform allocation* policy, in which each page is crawled at the

same rate, and a *non-uniform* or *proportional* policy where each page is crawled with a frequency that is proportional to the frequency with which it is changed on the web, ie pages which are updated frequently are crawled more often than those which change only occasionally. Finally, they examine the order in which the pages should be crawled. They develop models of:

- *fixed order* where all pages are crawled repeatedly in the same order each cycle
- *random order* where all pages are crawled in each cycle but in a random order, eg by always starting with the root URL for a site and crawling all pages linked to it
- *purely random* where pages are crawled on demand, which may mean some pages are crawled frequently and others never.

Their models show that when pages change at a uniform rate, maximum freshness or minimum age is obtained by crawling pages at a uniform rate and in fixed order. As we have noted, most studies make the assumption that pages change at a variable rate which may be approximated by a Poisson distribution, but in the second stage of their study, Cho and Garcia-Molina assume the broader gamma distribution for this change rate. Moreover, they prove that their particular model is valid for *any* distribution, and conclude that when pages change at varying rates, it is always better to crawl these pages at a uniform rate, ie ignoring the rate of change, than at a rate which is proportional to the rate of change. However, to maximize freshness they find a closed form solution to their model which provides an optimal crawling rate which is better than the uniform rate. These results were all derived for a *batch* or *periodic* crawler, ie where a fixed number of pages is crawled in a given time period. These pages are used to update a fixed size repository either by replacing existing repository pages with newer versions or by replacing less important pages with those deemed to be of greater importance.

Coffman et al[8] built a theoretical model to minimize the fraction of time pages spend out of date. Also assuming Poisson page change processes and a general distribution for page access time, they similarly show that optimal results can be obtained by crawling pages as uniformly as possible.

In [5], Cho and Garcia-Molina devise an architecture for an incremental crawler, and examine the use of an incremental versus a batch crawler under various conditions, particularly those where the entire web is not crawled. Crawling a subset (720,000 pages from 270 sites) of the web daily, they determined statistics on the rate of change of pages from different domains. They found, for example, that for the sites in their survey, 40% of pages in the .com domain change daily in contrast to .edu and .gov domains where more than 50% of pages did not change in the four months of the study. They show that the rates of change of pages they crawled can be approximated by a Poisson distribution, with the proviso that the figures for pages which change more often than daily or less often than four monthly are inaccurate. Using different collection procedures, Wills and Mikhailov[13] derive similar conclusions.

Table 1: Cumulative Probability Distribution of Page Age in Days

| cumulative probability | page age (days) |
|------------------------|-----------------|
| 0.03                   | $10^0$          |
| 0.14                   | $10^1$          |
| 0.48                   | $10^2$          |
| 0.98                   | $10^3$          |

Table 2: Cumulative Probability Distribution of Mean Lifetime in Days

| cumulative probability | mean lifetime (days) |
|------------------------|----------------------|
| 0.0                    | $10^0$               |
| 0.12                   | $10^1$               |
| 0.40                   | $10^2$               |
| 1.00                   | $.6 * 10^3$          |

A disadvantage of all these models is that they deal only with a fixed size repository of a limited subset of the web. In contrast, our model is flexible, adaptive, based upon the whole web and caters gracefully for its growth.

## 2.2. Page Statistics Derived from Crawling

Statistics on page ages, lifetimes, rates of change, etc are important for our model. Subject to the assumptions of a constant size repository copy of the web, which is updated with periodic uniform reindexing, Brewington and Cybenko[1] showed that in order to be sure that a randomly chosen page is at least 95% fresh or current up to a day ago, the web (of 800M) pages needs a reindexing period of 8.5 days, and a reindexing period of 18 days is needed to be 95% sure that the repository copy of a random page was current up to 1 week ago. In another study[2], the same authors estimate the cumulative probability function for the page age in days on a log scale as shown in Table 1.

As with similar studies, this does not accurately account for pages which change very frequently or those which change very slowly. Allowing for these biases, the authors also estimate the cumulative probability of mean lifetime in days shown in Table 2.

Brewington and Cybenko then use their data to examine various reindexing strategies based on a single revisit period for all pages, and refer to the need for *mathematical optimization* to determine the optimal reindexing strategy when the reindexing period varies per page. Such a model is the main focus of this paper.

### 3. The WebFountain Crawler

The model in this paper is designed to address the efficiency of a crawler recently built at IBM as part of the WebFountain data mining architecture. The features of this crawler that distinguish it from most previous crawlers are that it is fully distributed and incremental. By distributed, we mean that the responsibility for scheduling, fetching, parsing and storing is distributed among a homogeneous cluster of machines. URLs are grouped by site, and a site is assigned to a machine in the cluster (a few very large sites such as geocities may actually be split among several machines). There is no global scheduler, nor are there any global queues to be maintained. Moreover, there is no machine with access to a global list of URLs.

An incremental crawler (as opposed to a batch crawler) is one that runs as an ongoing process, and the crawl is never regarded as complete. The underlying philosophy is that the local collection of documents will always grow, always be dynamic, and should be constructed with the goal of keeping the repository as fresh and complete as possible. Instead of devoting all of its effort to crawling newly discovered pages, a percentage of its time is devoted to recrawling pages that were crawled in the past, in order to minimize the number of obsolete pages. Note that our use of the term ‘incremental’ differs from that of Cho et al [5, 6, 7]. Their definition assumes that the document collection is of static size, and a ranking function is used to replace documents in the collection with more important documents. We regard the issue of incrementality to be independent of the size of the collection, and we allow for growth of the crawler, in order to meet the demands of an ever-expanding web.

The WebFountain crawler is written in C++, is fully distributed, and uses MPI (Message Passing Interface) for communication between the different components. The three major components are the *Ants*, which are the machines assigned to crawl sites, *duplicate detectors*, which are responsible for detecting duplicates or near-duplicates, and a single machine called a *Controller*. The Controller is the control point for the machine cluster, and keeps a dynamic list of site assignments on the Ants. It is also responsible for routing messages for discovered URLs, and manages the overall crawl rate, monitoring of disk space, load balancing, etc.

Other crawlers have been written that distribute the load of crawling across a cluster, but they generally distribute the work in different ways. Due to the competitive nature of the Internet indexing and searching business, few details are available about the latest generation of crawlers. The first generation Google crawler[3] is apparently designed as a batch crawler, and is only partially distributed. It uses a single point of control for scheduling of URLs to be crawled. While this might appear convenient, it also provides a bottleneck for intelligent scheduling algorithms, since the scheduling of URLs to be crawled may potentially need to touch a large amount of data (eg, robots.txt, politeness values, change rate data, DNS records, etc). Mercator[10] supports incremental crawling using priority values on URLs and interleaving crawling new and old URLs.

The scheduling mechanism of the WebFountain crawler resembles Mercator in that it is



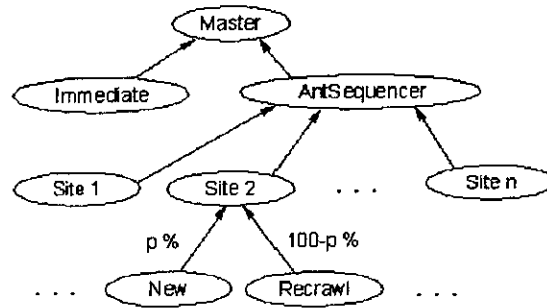


Figure 1: The Structure of Queues that Feed the URL Stream in the WebFountain Crawler

fully distributed, very flexible, and can even be changed on the fly. This enables efficient use of all crawling processors and their underlying network. The base software component for determining the ordering on URLs to be crawled consists of a composition of sequencers. Sequencers are software objects that implement a few simple methods to determine the current backlog, whether there are any URLs available to be crawled, and control of loading and flushing data structures to disk. Sequencers are then implemented according to different policies, including a simple FIFO queue or a priority queue. Other Sequencers are combiners, and implement a policy for joining sequencers. Examples include a round robin aggregator, or a priority aggregator that probabilistically selects from among several sequencers according to some weights. In addition, we use the Sequencer mechanism to implement the crawling politeness policy for a site. The ability to combine sequencers and cascade them provides a very convenient means to build a flexible recrawl strategy.

The strategy that we decided on for implementing the crawl strategy is illustrated in Figure 1. At the top level, there are two queues, one for immediate crawling that is intended to be used from a GUI, and one that aggregates all other URLs. Under that, each Ant is assigned a list of sites to be crawled, and maintains an active list of approximately 1000 sites that are currently being crawled. The selection of URLs to be crawled is taken from this active list in a round robin fashion. This avoids crawling any particular site too frequently - the so called *politeness* criterion. In addition, each Ant is multithreaded to minimize latency effects. When a site is added to the active list, a sequencer is constructed that loads all data structures from disk, merges the list of newly discovered URLs with the list of previously crawled URLs for that site, and prepares two queues. One of these queues contains URLs that have never been crawled, and one contains URLs that are scheduled for a recrawl. It is the way we manage our never-crawled and recrawl lists that it is to be determined by our optimisation model.

#### 4. Model Description

We constructed this model for robustness under growth of the web and changes to its underlying nature. Hence we do not make any *a priori* assumptions about the distribution of page change rates. However, we do make an assumption that particular historical information on each page is maintained in the metadata for that page. Specifically, each time a page is crawled, we record whether that page has changed since it was last crawled, and use this information to put the page into one of (at most 256) change-frequency ‘buckets’, recorded as one byte of the page’s metadata. Clearly, such data becomes more reliable as the page ages. In practice, page change rates may range from as often as every 15 minutes to as infrequently as once a year or less (eg a government department contact page). The very rapidly changing pages (several times a day) are almost all media sites (CNN, for example) and we feel that this relatively small and specialized set of sites should be handled separately. The remaining pages in the repository are grouped into  $B$  buckets each containing  $b_i$  pages which have similar rates of change.

A (theoretically)complete crawl of the web is modeled as taking place in a crawler *cycle*. Both the number of time periods in such a cycle,  $T$ , and the (equal) length of each period may be varied as needed. Our fundamental requirement is to estimate the number of obsolete pages in each frequency bucket at the end of each time period. The way in which this is calculated is best illustrated by following the tree of alternatives in Figure 2.

We consider a generic time period and a generic bucket (dropping the subscript) of pages in the repository, containing  $b$  pages at the beginning of the time period. Let the number of such pages for which our repository copy is already obsolete at the beginning of the time period be  $y$ , leaving  $(b - y)$  up-to-date. Now let  $x$  be the number of pages in this bucket crawled during the time period, and assume that obsolete and up-to-date pages are crawled with equal probability. This gives the partition of pages in the bucket seen at the second level of branches in the tree. Finally, let  $a$  be the (given) *proportion* of pages which change in the bucket during the time period, and assume that such a change is detected if the page is crawled in the same time period. The  $b$  pages in the bucket are now partitioned among the leaves of the tree, and we easily see that the leaves marked \* correspond to obsolete pages. Note that by adding the expressions attached to these two leaves we obtain an expression for the number of obsolete pages at the *end* of this time period, as a function of the data at the beginning of the period and of the decision variable  $x$ , which specifies how many pages in this bucket to crawl. This relationship is fundamental to our model, and to the way in which the queue of ‘old’ URLs is managed.

In addition to the ‘old’ pages we must deal with the ‘new’ pages either discovered or exogenously supplied during the crawl. Let the number of these new pages crawled during a time period be  $z$  (these are then added to the appropriate buckets in the repository). The remaining new uncrawled pages are represented by  $n$ . These pages are also regarded as obsolete and will remain in that state until crawled.

We are now ready to give the definitions of the variables and the formal model.

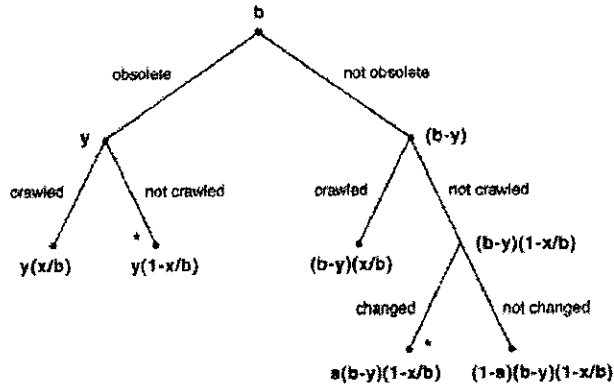


Figure 2: Identification of Obsolete Pages in each Bucket per Time Period

- $T$  = number of time periods in model  
 $B$  = number of buckets  
 where bucket refers to pages which change  
 at approximately the same rate  
 $cconst_{it}$  = average time in seconds to crawl an old page  
 in bucket  $i$  in time period  $t$   
 $dconst_t$  = average time in seconds to crawl a new page  
 in time period  $t$   
 $Cconst_t$  = total number of seconds available for crawling  
 in time period  $t$   
 $oldwt_{it}$  = experimental proportional weight on crawling  
 obsolete pages in bucket  $i$  in time period  $t$   
 $newwt_t$  = experimental proportional weight on crawling  
 new pages in time period  $t$   
 $oldnwt_{it}$  = probability that when an old page in bucket  $i$   
 is crawled in time period  $t$ , it finds a new page  
 $newnwt_t$  = probability that when a new uncrawled page  
 is crawled in time period  $t$ , it finds a new page  
 $nconst$  = minimum number of new pages brought to the  
 attention of the crawler per time period  
 $b_{it}$  = number of pages in bucket  $i$

- at the end of time period  $t$
- $p_i$  = distribution of new pages to buckets  
where  $p_i$  is proportional to  $b_i$  and  $\sum_{i=1}^B p_i = 1$
- $a_{it}$  = fraction of pages in bucket  $i$  which change  
in time period  $t$
- $y_{it}$  = number of obsolete pages in bucket  $i$   
at end of time period  $t$
- $x_{it}$  = number of crawled pages in bucket  $i$   
in time period  $t$
- $n_t$  = number of new uncrawled pages  
at end of time period  $t$
- $z_t$  = number of new pages crawled in time period  $t$ .

The objective of the time period model is to minimize the weighted sum of obsolete pages, ie:

minimize

$$\sum_{t=1}^T (\sum_{i=1}^B oldwt_{it}y_{it} + newwt_t n_t)$$

subject to the following constraints:

The bandwidth available for crawling during each time period may not be exceeded.

$$Cconst_t \geq \sum_{i=1}^B cconst_{it}x_{it} + dconst_t z_t \quad (1)$$

The number of obsolete existing or *old* pages,  $y_{it}$  is updated as discussed above at every time period.

$$y_{it} = (1 - (x_{it}/b_{i,t-1}))((1 - a_{it})y_{i,t-1} + a_{it}b_{i,t-1}) \quad (2)$$

The number of *new* pages,  $n_t$  is updated every time period.

$$n_t = n_{t-1} + \sum_{i=1}^B oldnwt_{it}x_{it} + (newnwt_{t-1})z_t + nconst \quad (3)$$

The total number of pages in each bucket,  $b_{it}$  is updated every time period.

$$b_{it} = b_{i,t-1} + p_i z_t \quad (4)$$

The number of existing pages,  $x_{it}$  crawled in any bucket in any time period must be fewer than the total number of pages to be crawled in the bucket,  $b_{i,t-1}$ .

$$x_{it} \leq b_{i,t-1} \quad (5)$$

Similarly, in any time period, the number of new pages crawled,  $z_t$  must be less than the number of new uncrawled pages,  $n_{t-1}$ .

$$z_t \leq n_{t-1} \quad (6)$$

$$0 \leq b_{it}, x_{it}, y_{it}, n_t, z_t \quad (7)$$

Each equation holds  $\forall t = 1, \dots, T$  and, where relevant,  $\forall i = 1, \dots, B$

The critical solution outputs are the ratios  $x_{it}/b_{it}$  and the  $z_t$  values, which tell us how many URLs in the ‘old’ and ‘new’ queues we should crawl in each time period, and hence the probabilities  $p$  in Figure 1.

## 5. Solution

Since the balance equation 2 for updating  $y_{it}$  is highly nonlinear, the model must be solved by a nonlinear programming (NLP) method capable of solving large scale problems. For our model runs, it was assumed that there are 500M unique pages on the web, with a growth rate which allows the web to double in size in approximately 400 days. With a value of 14 for the number of time periods  $T$ , and 255 for  $B$ , the number of buckets, the basic model has approximately 11200 variables of which 10000 are nonlinear and 11200 constraints of which about 3300 are nonlinear. Even after the use of various presolve techniques to reduce the size of the problem, solution proved to be non-trivial.

We used the NEOS[12] public server system to run experiments with several different NLP solvers on the models and found that the standard NLP package, MINOS[11] gave the best and most reliable results. Solution times for all variations of the model were around ten minutes on MINOS on a timeshared machine.

Since the WebFountain crawler for which this model was designed is in its early stages of development, we have very little actual historical data for such parameters as the rate of change of various pages. We have therefore used simulated, but realistic data, based on the previous studies we have cited from the literature.

### 5.1. Results

Since our model runs use estimated data, many experiments were run for a range of values of the critical parameters. Reassuringly, the model is quite robust under most of these changes. However, it turns out to be quite sensitive to changes in the weights in the objective function,  $oldwt_{it}$  and  $newwt_t$ . Given the overall aim of minimizing the total

Table 3: Model Statistics

|        | objective            | Total Pages<br>at end | Total Obsolete<br>Pages at end |
|--------|----------------------|-----------------------|--------------------------------|
| Strat1 | $32.592 \times 10^7$ | $5.224 \times 10^8$   | $3.086 \times 10^7$            |
| Strat2 | $1.722 \times 10^7$  | $5.184 \times 10^8$   | $1.609 \times 10^7$            |
| Strat3 | $2.138 \times 10^7$  | $5.224 \times 10^9$   | $1.702 \times 10^8$            |

number of obsolete pages, we describe the implementation of three of the many possible variations of the objective function weights:

- Strategy 1 gives equal weights (summing to 1) to each time period in a cycle of the crawler
- Strategy 2 gives the last time period the total weight of 1 and the other time periods, zero weight, ie the model is minimizing the number of obsolete pages just on the last time period of a crawler cycle
- Strategy 3 gives the last time period a high weighting and the remaining time periods very low weightings, ie it is still trying to minimize the number of obsolete pages in the last time period but it is also taking into account the obsolete pages in all time periods.

## 5.2. Experiment 1

As shown in Table 3, Strategy 2 gives the minimum value of the objective function, ie the weighted total of obsolete pages, followed by Strategy 3. The objective value for Strategy 1 is considerably higher. Figure 3 illustrates the effects of implementing these strategies.

Strategy 2 recommends crawling each page just once during a cycle of the crawler. This uniform crawling is in line with the theoretical results of Cho and Garcia-Molina[6] and Coffman et al[8]. Strategy 1 recommends crawling fast changing pages in many time periods of a cycle. For these pages, the crawl rate is usually higher even than the page change rate. However, it does not crawl at all, those pages which fall into the lowest 40% of page change rates. Strategy 3 is a compromise. It recommends crawling all pages at least once in a crawler cycle with the fastest changing 18% being crawled more than once per cycle.

Table 3 also shows that while the total number of web pages at the end of a crawler cycle is similar under each strategy, the total number of obsolete pages is not. Figure 4 examines the total number of obsolete pages in each time period of a cycle under each strategy.

If we disregard the actual objective function and look at the number of obsolete pages we see that in any given time period (except the last), Strategy 1 always has fewer obsolete pages than Strategy 3 and considerably fewer than Strategy 2. Because of the weights in

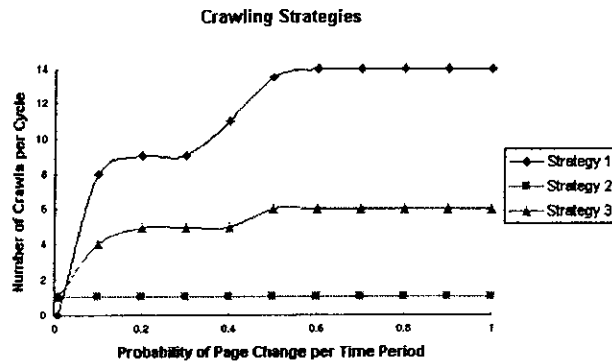


Figure 3: Recommended Number of Crawls per Crawler Cycle for Pages with Different Change Rates under Different Crawl Strategies

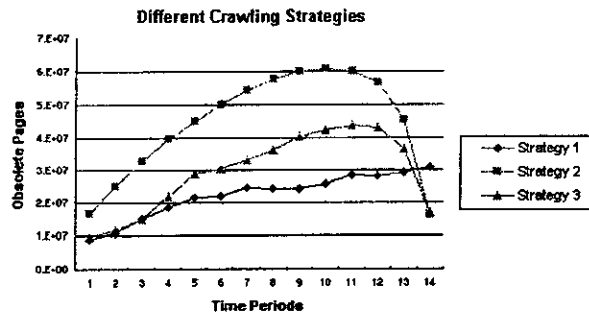


Figure 4: Total Number of Obsolete Pages each Time Period under Different Strategies

the objective functions for the different strategies, the lower number of obsolete pages for Strategies 2 and 3 in the last time period is expected.

Mathematically, Strategy 2 is optimal. However, depending on the purpose(s) for which a crawler is designed, it may not be acceptable to have an incremental crawler ignore the page change rate and crawl all pages at a uniform rate, ie just once each crawler cycle. In terms of minimizing the number of obsolete pages each time period, Strategy 1 is clearly superior. However, it does not crawl 40% of the pages during a cycle. This may also be unacceptable for many of the possible uses of the crawler.

Strategy 3 again provides a reasonable compromise. It crawls all pages within a cycle and still has a somewhat lower mathematical minimum objective value than Strategy 1.

Table 4: Model Statistics for Versions 1 and 2 of Strategies 1 and 3

|      | objective            | Total Pages at end  | Total Obsolete Pages at end |
|------|----------------------|---------------------|-----------------------------|
| S1v1 | $32.592 \times 10^7$ | $5.224 \times 10^8$ | $3.086 \times 10^7$         |
| S1v2 | $37.744 \times 10^7$ | $5.214 \times 10^8$ | $3.534 \times 10^7$         |
| S3v1 | $2.138 \times 10^7$  | $5.224 \times 10^9$ | $1.702 \times 10^8$         |
| S3v2 | $2.500 \times 10^7$  | $5.193 \times 10^9$ | $1.972 \times 10^8$         |

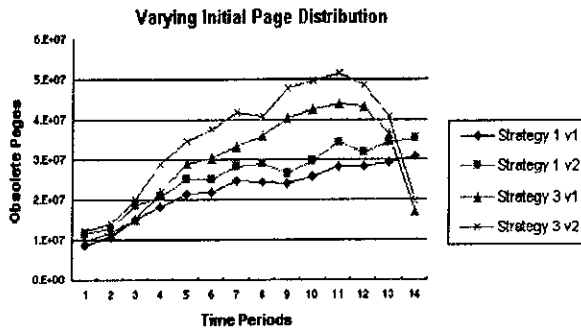


Figure 5: Effects on the Number of Obsolete Pages per Time Period of Varying the Page Change Rates Distribution

### 5.3. Experiment 2

Many versions of the model were run changing the values of different parameters. In all cases the results were as expected. Experiment 2 is a typical example. In the initial or Version 1 of the model, the 500M repository pages were assumed to be distributed equally to each bucket, ie it was assumed that there are the same number of pages corresponding to each of the different page change rates. Each of the 255 buckets received 2M pages. In Version 2, the buckets representing the 25% of the fastest changing page rates and the 25% of the slowest changing pages all received 3M pages initially. The buckets representing the middle 50% of page change rates, each received 1M pages initially. Table 4 shows the effect on the total number of obsolete pages of this change.

Figure 5 shows that this change made little difference to the distribution of obsolete pages for each time period. For both Strategy 1 and Strategy 3, there are a larger number of obsolete pages in Version 2. This is expected as the models in Version 2 started with a higher number of obsolete pages and given the finite capacity of the crawler, this number will grow during a 14 time period cycle of the crawler unless forced to reduce as in the last few time periods of Strategy 3.

Experiment 2 does show the robustness of the model to changes in the initial parameters.



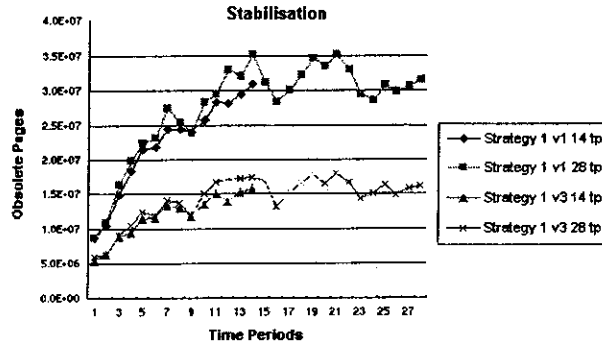


Figure 6: Trend towards Stabilisation in the Number of Obsolete Pages over Time

#### 5.4. Experiment 3

The object of Experiment 3 was to determine if the number of obsolete pages continued to grow with time or if this number reached stabilisation. There were four runs, all of the model with an objective corresponding to Strategy 1. Figure 6 illustrates the results. Strategy 1 (Version 1) was run for 14 time periods and for 28 time periods as was Version 3. In Version 3, it was assumed that the page change rates were half as frequent as in Version 1. The distribution of obsolete pages over time periods between and within each version shows the expected similarities. As can be seen in any given time period, the number of obsolete pages for Version 3 is approximately half that of Version 1. More importantly, it can be seen that for both versions, the number of obsolete pages is tending to stabilise. It was not possible to run the crawler model for a longer period and obtain a useful mathematical solution, nor would the crawler be run for this long in practice without an update of the parameters and reoptimization.

The objectives we used, based on the different weighted sums of obsolete pages, correspond to maximising the freshness of the collection under different crawler aims, eg all the web must be crawled each cycle or a certain percentage of pages in the repository should be guaranteed to be no more than say, a week old.

Taking all the experiments into consideration, the results are consistent with an implementation philosophy of using Strategy 2 in early cycles of the crawler, to drive down the number of obsolete pages in the repository quickly. It would then be beneficial to switch to Strategy 1 or 3 to maintain a stable number.

## 6. Conclusions

The computational results we have obtained (albeit with simulated data) suggest that an efficient crawling strategy can be implemented for the WebFountain (or any) incremental

crawler without making any theoretical assumptions about the rate of change of pages, but by using information gleaned from actual cycles of the crawler which adaptively build up more extensive and reliable data. Thus the model we have described is adaptive at two levels: within a crawler cycle it coordinates the management of the URL queues over the cycle's component time periods, and between cycles the data necessary for the optimization is updated for the next cycle - particularly the change rates, and new page creation rates. We look forward to full scale implementation of the model when the WebFountain crawler begins regular operation.

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