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# Research Report

## Construction of Steiner Systems and High-Rate Low-Density Parity-Check Codes

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# Construction of Steiner Systems and High-Rate Low-Density Parity-Check Codes

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## Abstract

Starting from a finite projective plane, a simple construction of low-density parity check (LDPC) matrices is presented. The factor graphs associated with these LDPC matrices have no 4-cycles due to the incident structure of lines and points in the projective plane. Furthermore, two constructions are proposed to combine suitably sized smaller LDPC matrices into a larger LDPC matrix. These constructions can be used to obtain infinite sequences of Steiner systems of any block size, which are 2-designs.

**Index terms:** Finite geometries, Steiner systems, low-density parity-check (LDPC) codes

# 1 Introduction

Low-density parity-check (LDPC) codes, introduced by Gallager [1] some four decades ago, have recently attracted much interest due to their outstanding performance when decoded by the sum-product algorithm [2]. Numerous papers report on performance results of codes from sparse parity-check matrices and an on-line repository of such codes has been set up [3]. Typically, LDPC matrices are constructed by a random process and, therefore, the description length of these matrices is rather long.

In this paper, deterministic constructions of LDPC matrices are presented. The corresponding factor graphs of these LDPC matrices have no 4-cycles, which is desirable for an efficient decoding with the sum-product algorithm. High-rate 4-cycle free binary LDPC matrices with fixed column weight  $j$  and row weight  $k$  only exist if their rate satisfies a combinatorial bound [4], which is related to the number of blocks in a Steiner system. The proposed constructions can produce 4-cycle-free LDPC matrices of maximum lengths, which are Steiner systems.

The main construction relies on geometric properties of points and lines in the projective plane over a finite field or ring. Some basic results on the projective plane are summarized in Section 2. Section 3 presents a construction of LDPC matrices that are obtained as incidence matrices from points and lines from the projective plane. In Section 4, a method for constructing larger LDPC matrices from smaller LDPC matrices is presented, which can be used to obtain Steiner systems, as shown in Section 5. In the last section, simulation results are shown that compare the performance of some deterministically and randomly constructed LDPC codes.

## 2 The Projective Plane

Let  $\mathbf{F}$  be a finite field of cardinality  $q$ . The “points” of the projective plane  $\mathbf{P}^2(\mathbf{F})$  are the 1-dimensional subspaces in  $\mathbf{F}^3$  (cf. Chap. 6.7 in [5]). A point  $P$  is specified by a triple of coordinates  $\mathbf{x} = (x_1, x_2, x_3)$ , which are not all zero. Since  $\mathbf{x}$  is non-zero, it defines a 1-dimensional subspace. Every non-zero multiple of  $\mathbf{x}$  defines the same point. Hence, two tripels  $(x_1, x_2, x_3)$  and  $(x'_1, x'_2, x'_3)$  determine the same point  $P$  if and only if one is a non-zero multiple of the other. There are  $(q^3 - 1)/(q - 1) = q^2 + q + 1$  points, i.e., 1-dimensional subspaces, in  $\mathbf{P}^2(\mathbf{F})$ .

The “lines” of the projective plane are all the 2-dimensional subspaces in  $\mathbf{F}^3$ . A line  $l$  is characterized by a linear equation, i.e., the line is the 2-dimensional kernel of

$$\lambda(\mathbf{x}) \triangleq \lambda_1 x_1 + \lambda_2 x_2 + \lambda_3 x_3 = 0, \tag{1}$$

where the coefficients  $\lambda_i$  are not all zero. In the same way as points, the line  $l$  is determined by the triple of coefficients  $(\lambda_1, \lambda_2, \lambda_3)$  and a non-zero multiple of these coefficients determines the same line. There are  $q^2 + q + 1$  lines in  $\mathbf{P}^2(\mathbf{F})$ .

The points and lines satisfy some incidence relations. We say that a point  $P$  is incident with (or contained in) the line  $l$  if the 1-dimensional subspace determined by  $P$  is contained in the 2-dimensional subspace corresponding to  $l$  or, equivalently, if  $\lambda(\mathbf{x}) = 0$ .

The projective plane has some desirable regularity properties (e.g., Chap. 10.3 in [6]):

- Every point lies on  $q + 1$  lines.

- Every line contains  $q + 1$  points.
- Two lines meet in exactly 1 point.
- There is exactly one line that goes through two different points.

### 3 Construction of LDPC Matrices from the Projective Plane

Let  $X$  be a subset of points and let  $L$  be a subset of all lines from  $\mathbf{P}^2(\mathbf{F})$ . We will denote the cardinalities of these two sets by  $m = |X|$  and  $n = |L|$  and we will choose some ordering  $P_1, P_2, \dots, P_m$  of the points in  $X$  and an ordering  $l_1, l_2, \dots, l_n$  for the lines in  $L$ . The *incidence matrix* of these sets of lines and points is a binary  $m \times n$ -matrix  $H$ , whose entries  $h_{ij}$  are defined as follows:

$$h_{ij} = \begin{cases} 1 & \text{if } P_i \text{ is incident with } l_j \\ 0 & \text{otherwise.} \end{cases} \quad (2)$$

Due to the regularity properties of  $\mathbf{P}^2(\mathbf{F})$ , the matrix  $H$  has no 4-cycles, i.e., any two points (two rows) are checked at most by one line (column). This is a desirable property for iterative decoding of LDPC codes. Note that the matrix  $H$  will not have full rank, in general.

In the remaining part of this section, it will be shown how to construct parity-check matrices with a strong regularity property, namely, all rows will have the same number of ones, usually denoted by  $k$  and all columns will also have a fixed number of ones, denoted by  $j$  [1]<sup>1</sup>. Such a regular matrix will be called a  $(j, k)$  matrix. A  $(j, k)$  matrix  $H$  of size  $m \times n$  is said to have a *band structure* with  $j$  bands if it can be partitioned into equal-size blocks as

$$H = \begin{bmatrix} H^{(1)} \\ H^{(2)} \\ \vdots \\ H^{(j)} \end{bmatrix} \quad (3)$$

where each block  $H^{(s)}$  is a  $(1, k)$  matrix of size  $\frac{m}{j} \times n$ .

**Regular  $(j, k)$  Construction:** The parameters of the resulting parity-check matrix depend on the cardinality of the projective plane and an additional design parameter  $\rho$ , which is in the range  $1 \leq \rho \leq q$ . Select a point, say  $P_0$ , in  $\mathbf{P}^2(\mathbf{F})$  and define the line subset  $L$  to consist of all lines except those passing through  $P_0$ . Choose  $\rho$  “forbidden” lines  $\mu_1, \mu_2, \dots, \mu_\rho$ , which pass through the point  $P_0$ . Choose the set of points  $X$  to consist of all points except those lying on the forbidden lines  $\mu_1, \mu_2, \dots, \mu_\rho$ . Let  $H$  be the corresponding incidence matrix.

**Theorem 1** *A matrix  $H$  that results from the regular  $(j, k)$  construction is a  $(j, k)$  matrix with a band structure and has no 4-cycles. Moreover,  $H$  has the following parameters:*

- (i)  $j = q + 1 - \rho$  and  $k = q$ ;
- (ii)  $m = q^2 - q(\rho - 1) = j \cdot k$  and  $n = q^2 = k^2$ .

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<sup>1</sup>Note that the notation in [1] is not consistent with the terminology used for designs [7], where  $k$  usually denotes the size of the blocks of a  $t$ - $(v, k, \lambda)$  design.

**Remark:** The projective plane over  $\mathbf{F} = \text{GF}(q)$  can be viewed as a  $2 - (q^2 + q + 1, q + 1, 1)$  design with the dual incidence structure of points and lines, i.e., the  $q^2 + q + 1$  lines in  $\mathbf{P}^2(\mathbf{F})$  correspond to the  $q^2 + q + 1$  points of the design and points of  $\mathbf{P}^2(\mathbf{F})$  correspond to the blocks of the design. The dual affine plane can be obtained from the projective plane by deleting one point and all lines passing through this point (cf. Chap. 19 in [7]). This corresponds to the above construction with  $\rho = 0$  and results in a  $2 - (q^2, q, 1)$  design, where the  $q^2$  lines correspond to the  $q^2$  points of the design. The above construction is a generalization of this transition from the projective to the dual affine plane. It does not result in a design but nevertheless it gives rise to a  $(j, k)$  matrix.

**Proof:** The incidence properties of the projective plane imply that any two lines intersect in at most one point in  $X$ . Hence, there are no 4-cycles.

(i) There are  $j = q + 1 - \rho$  points on any given line in  $L$  and every such line has  $\rho$  intersection points with the forbidden lines  $\mu_1, \mu_2, \dots, \mu_\rho$ . These intersection points have been deleted from  $\mathbf{P}^2(\mathbf{F})$ , hence, there are  $q + 1 - \rho$  remaining points on this line, which are contained in  $X$ . This shows that  $j = q + 1 - \rho$ .

In the projective plane, there are  $q + 1$  lines passing through each point  $P \in X$ . Out of these  $q + 1$  lines, there is exactly one line passing through  $P$  and  $P_0$ . By construction, this line is not contained in the line set  $L$  and, thus, there are  $q$  lines in  $L$  that pass through  $P$ , hence,  $k = q$ .

(ii): It is clear from the construction that there are  $n = q^2$  lines. In the construction process,  $q\rho + 1$  points are deleted and, therefore, a total of  $m = q^2 + q + 1 - q\rho - 1 = q^2 - q(\rho - 1)$  points remain.

To obtain a band structure of  $H$ , one needs to choose a suitable ordering of the points in  $X$ , i.e., of the rows of  $H$ . Let  $Q, Q \neq P_0$ , be some point on a forbidden line, say on  $\mu_1$ . There are  $q + 1$  lines passing through  $Q$  and, by construction,  $q$  of these lines lie in  $L$  because only one of the  $q + 1$  lines contains  $P_0$ . We label these lines as  $\lambda_1, \dots, \lambda_q$ . Let  $\mu_{\rho+1}, \dots, \mu_{q+1}$  denote the  $j$  lines through  $P_0$  that are not forbidden. By construction,  $X$  consists of the  $j \cdot q$  intersection points of the lines  $\lambda_1, \dots, \lambda_q$  with the lines  $\mu_{\rho+1}, \dots, \mu_q$ . We label the  $j \cdot q$  intersection points  $\lambda_\ell \cap \mu_{\rho+s}$  by  $P_i$ , where  $i = \ell + (s - 1)q$ ,  $\ell = 1, \dots, q$ ,  $s = 1, \dots, j$ . This labelling provides the desired band structure: the submatrix  $H^{(s)}$  is the incidence matrix of  $L$  with the  $q$  points on the line  $\mu_{\rho+s}$ .  $\square$

**Example 1** To construct a  $(j = 3, k = 3)$  LDPC matrix consider the projective plane  $\mathbf{P}^2(\mathbf{Z}_3)$ . Let  $P_0$  be a point at infinity with coordinates, say  $\mathbf{x}_0 = (0, 0, 1)$ . The set of lines that does not pass through  $P_0$  is given by

$$L = \{(\lambda_1, \lambda_2, 1) : \lambda_1, \lambda_2 \in \mathbf{Z}_3\}.$$

To construct a LDPC matrix with  $j = 3$ , we choose  $\rho = 1$ , by Theorem 1. As forbidden line  $\mu_1$ , we choose the line at infinity with coefficients  $(1, 1, 0)$ , for which  $\mu_1(\mathbf{x}_0) = 0$  holds, i.e.,  $P_0$  lies on  $\mu_1$ . According to the construction, the point set  $X$  consists of all the points  $P$  (with coordinates  $\mathbf{x} = (x_1, x_2, x_3)$ ) that do not lie on  $\mu_1$ , i.e., for which  $0 \neq \mu_1(\mathbf{x}) = x_1 + x_2$ . This point set is given by

$$X = \{(1, 0, c) : c \in \mathbf{Z}_3\} \cup \{(0, 1, c) : c \in \mathbf{Z}_3\} \cup \{(1, 1, c) : c \in \mathbf{Z}_3\}$$



We choose  $j = 2$ , i.e., there are two allowed lines, which are chosen to be

$$\mu = (1, 0, 0) \qquad \mu' = (1, 2, 0).$$

Clearly,  $\mu$  and  $\mu'$  pass through  $P_0$ . But there is a second intersection point, namely,  $P_1$  with coordinates  $\mathbf{x}_1 = (0, 2, 1)$ . The resulting regular ( $j = 2, k = 4$ ) parity check matrix is given by

$$H = \begin{bmatrix} 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \end{bmatrix}.$$

**Remark.** For any ring, one can always find three lines, which satisfy the Full Rank Condition IV and pass through one common point  $P_0$ . E.g., the three lines with components

$$(1, 0, 0) \qquad (0, 1, 0) \qquad (1, 1, 0)$$

have the intersection point with coordinates  $(0, 0, 1)$  and satisfy the mentioned condition. Thus, for  $j = 3$  and any finite ring  $R$ , there is a Regular ( $j = 3, k = |R|$ ) Construction that results in an LDPC matrix with the properties stated in Theorem 1.

## 4 Construction of LDPC Matrices from LDPC Submatrices

When constructing high-rate LDPC matrices without 4-cycles, one wishes to maximize the code length  $n$  for a given number  $m$  of check equations and given  $j$ . The construction in the previous section does not give LDPC matrices of maximum length. In this section, we propose two constructions to extend the code length. In the next section, we will show that these extension constructions provide maximum length codes.

**Extension Construction 1**<sup>3</sup> Let  $H_1$  be a (small) LDPC matrix with some parameters  $j_1, k_1, m_1$  and  $n_1$  without 4-cycles. Moreover, suppose we are given a second (large) LDPC matrix  $H_2$  without 4-cycles, which has a band structure with  $j_2$  bands. Thus,  $H_2$  is an  $m_2 \times n_2$  matrix with  $j_2$  ones per column and  $m_2 = j_2 \cdot m_1$ . We define an LDPC matrix  $H$  by

$$H = \left[ H_2 \mid I_{j_2} \otimes H_1 \right] \tag{4}$$

where  $I_{j_2} \otimes H_1$  denotes the tensor (or Kronecker) product of the  $j_2 \times j_2$  identity matrix  $I_{j_2}$  and  $H_1$ .

**Proposition 1** *The matrix  $H$  of the Extension Construction 1 has no 4-cycles. It has a quasi-band structure (3) with  $j$  bands and within each band there are 0, 1 or  $j_1$  ones per column. The parameters of  $H$  are*

<sup>3</sup>A special case of this construction for  $j = 3$  was first discovered in [9].







For  $j = 3, 4$  and  $5$ , these necessary conditions are also sufficient for the existence of  $S(m, j, 2)$  [10].

**Theorem 2** *Let  $H_1$  be the incidence matrix of a Steiner system  $S(m_1, j, 2)$  and let  $H_2$  be a  $(j_2 = j, k_2 = m_1)$  matrix obtained from the Regular  $(j_2, k_2)$  Construction. The Extension Construction 1 produces an incidence matrix  $H$  of a Steiner system  $S(m = jm_1, j, 2)$ .*

**Proof:** By Theorem 1 and Proposition 1,  $H$  is a  $(j, k_1 + m_1)$  matrix with  $m = j \cdot m_1$  rows, where  $k_1 = (m_1 - 1)/(j - 1)$  since  $H_1$  comes from  $S(m_1, j, 2)$ . The number of columns of  $H$  meet the upper bound (6):

$$\begin{aligned} n &= j \cdot n_1 + n_2 = j \frac{m_1(m_1 - 1)}{j(j - 1)} + m_1^2 \\ &= \frac{m_1(jm_1 - 1)}{j - 1} = \frac{m(m - 1)}{j(j - 1)} \end{aligned}$$

and, hence,  $H$  is the incidence matrix of a Steiner system  $S(m = jm_1, j, 2)$ .  $\square$

**Theorem 3** *Let  $H_1$  be the incidence matrix of a Steiner system  $S(m_1, j, 2)$  and let  $H_2$  be a  $(j_2 = j, k_2 = m_1 - 1)$  matrix obtained from the Regular  $(j_2, k_2)$  Construction. The Extension Construction 2 produces an incidence matrix  $H$  of a Steiner system  $S(m = j(m_1 - 1) + 1, j, 2)$ .*

**Proof:** By Theorem 1 and Proposition 2,  $H$  is a  $(j, k_1 + k_2)$  matrix with  $m = j \cdot (m_1 - 1) + 1$  rows, where  $k_1 = (m_1 - 1)/(j - 1)$  since  $H_1$  comes from  $S(m_1, j, 2)$ . The number of columns of  $H$  meet the upper bound (6):

$$\begin{aligned} n &= j \cdot n_1 + n_2 = j \frac{m_1(m_1 - 1)}{j(j - 1)} + (m_1 - 1)^2 \\ &= \frac{m_1 - 1}{j - 1} [j(m_1 - 1) + 1] = \frac{(m - 1)m}{(j - 1)j} \end{aligned}$$

and, hence,  $H$  is the incidence matrix of a Steiner system  $S(m = j \cdot (m_1 - 1) + 1, j, 2)$ .  $\square$

For any given  $j$ , the shortest Steiner system  $S(j, j, 2)$  of length  $n = 1$  has the all-one column of length  $j$  as its incidence matrix. Thus, starting with this all-one incidence matrix as  $H_1$  and using Theorems 2 and 3 iteratively, one can construct infinitely many Steiner systems provided that the full rank conditions are satisfied. For  $j = 3, 4$ , and  $5$  the parameters of the first few Steiner systems that are obtained in this way are given in Table 1. Note that the Steiner system  $S(41, 5, 2)$  is obtained by a direct construction method (cf. Chap. 15.3 in [11]) and cannot be obtained from  $S(5, 5, 2)$ . The Steiner systems  $S(201, 5, 2)$  and  $S(205, 5, 2)$  can be constructed using Theorems 2 and 3, resp., based on the Steiner system  $S(41, 5, 2)$ .

## 6 Performance of Some High Rate LDPC Codes

In order to achieve a good performance on the AWGN channel, a code should not have too many codewords of small Hamming weight and, in particular, it should have a reasonable minimum Hamming distance  $d_{min}$ . For small lengths  $n$  and high rate codes, the Hamming distances that are obtained from the Regular  $(j, k)$  Construction were found to be above

$j = 3$	m	3	7	9	19	21	25	27	55
	n	1	7	12	57	70	100	117	495
	k	1	3	4	9	10	12	13	27
$j = 4$	m	4	13	16	49	52	61	64	193
	n	1	13	20	196	221	305	336	3088
	k	1	4	5	16	17	20	21	64
$j = 5$	m	5	21	25	41	121	125	201	205
	n	1	21	30	82	726	775	2010	2091
	k	1	5	6	10	30	31	50	51

Table 1: Steiner systems obtained by the constructions given in Theorem 2 and 3.

the Gilbert-Varshamov bound. For large codes, the minimum Hamming distance could not be determined. However, for the extension constructions 1 and 2, one readily obtains the following upper bounds on the Hamming distance of the resulting LDPC matrix

$$d_{min} \leq \min\{d_{min}^{(1)}, d_{min}^{(2)}\},$$

where  $d_{min}^{(i)}$  denotes the minimum Hamming distance of the two codes with parity-check matrices  $H_i$ ,  $i = 1, 2$ , which are used in the constructions. This upper bound implies that all the non-trivial codes that are obtained from Table 1 with the exception of those with  $j = 5$ , which are based on  $S(41, 5, 2)$ , have a poor minimum Hamming distance, viz.,  $d_{min} \leq 4, 6$  and  $8$ , depending on  $j = 3, 4$  and  $5$ , respectively. This follows from the fact that the parity-check matrices of all these codes are built from the square parity-check matrices corresponding to the Steiner systems  $S(7, 3, 2)$ ,  $S(13, 4, 2)$  and  $S(21, 5, 2)$ , which satisfy the mentioned upper bounds. For the construction of good codes we have avoided to include the above mentioned Steiner systems into the constructions.

A small high rate code was constructed using the modified Extension Construction 2, where  $H_1$  is an  $21 \times 49$  matrix obtained from the Regular ( $j = 3, k = 7$ ) Construction and  $H_2$  is an  $57 \times 361$  matrix obtained from the Regular ( $j = 3, k = 19$ ) Construction. The resulting LDPC matrix  $H$  has  $j = 3$  and size  $59 \times 508$ , the dimension of the code is 449, which gives the rate 0.8838. A second code of length  $n = 1849$  was obtained from the Regular ( $j = 5, k = 43$ ) Construction by choosing the set of lines  $L$  and set of points  $X$  as

$$\begin{aligned} L &= \{(\lambda_1, \lambda_2, 1) : \lambda_1, \lambda_2 \in \mathbf{Z}_{43}\} \\ X &= \{(1, 20, c) : c \in \mathbf{Z}_{43}\} \cup \{(0, 1, c) : c \in \mathbf{Z}_{43}\} \cup \{(1, 5, c) : c \in \mathbf{Z}_{43}\} \cup \\ &\quad \{(1, 13, c) : c \in \mathbf{Z}_{43}\} \cup \{(6, 23, c) : c \in \mathbf{Z}_{43}\} \end{aligned}$$

The  $215 \times 1849$  parity-check matrix contains 4 redundant checks. Thus, the code has dimension 1638 and rate 0.8858. Both codes have no 4-cycles by construction.

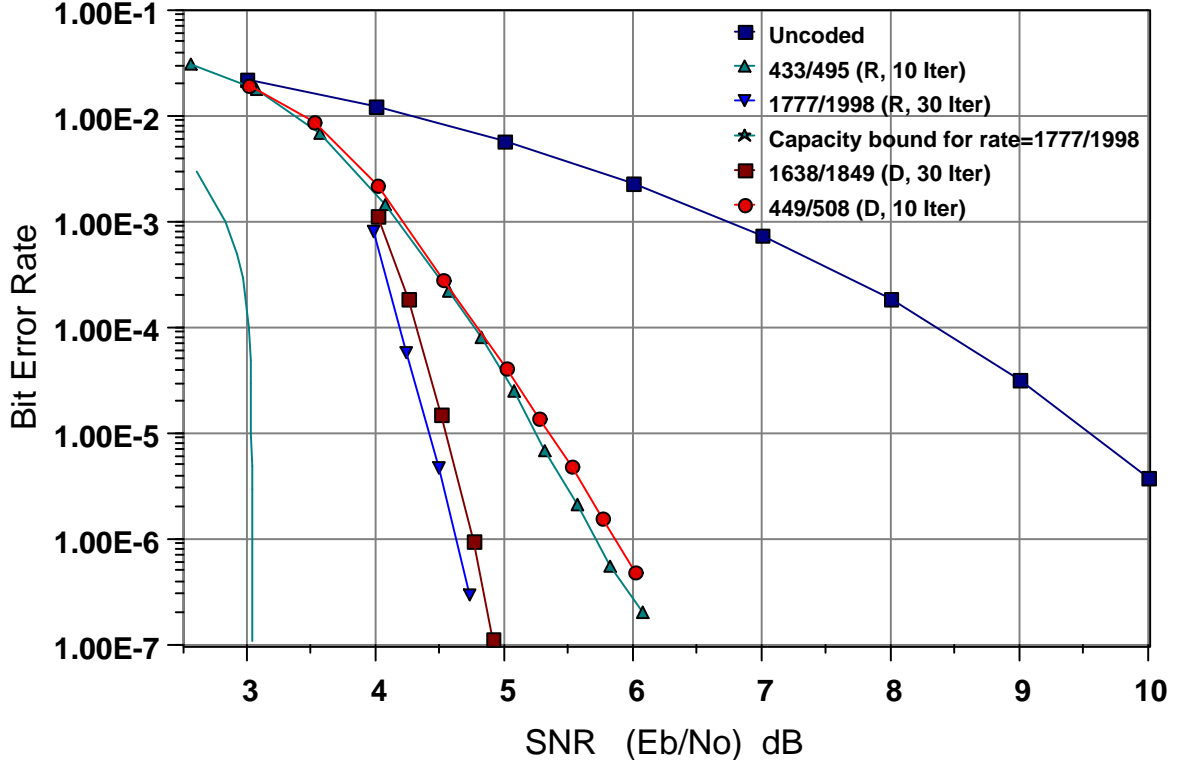


Figure 1: Performance of LDPC codes on the AWGN channel.

For the AWGN channel, the performance of the two codes using the sum-product decoding algorithm is shown in Fig. 1. For comparison, the performance of two randomly constructed codes of MacKay [3]<sup>4</sup> with similar lengths and rates are also shown; the parameters of these two codes are  $(n, m, j) = (495, 62, 3)$  and  $(1998, 222, 4)$  with rates 0.8747 and 0.8893, respectively. The capacity achieving signal-to-noise ratio (SNR) for the two short codes of lengths 495 and 508 is 2.841 dB and 2.963 dB, respectively. These two short codes have similar bit-error performance when decoded by the sum-product algorithm with at most 10 iterations. The capacity achieving SNR for the two longer codes is at 3.0402 dB for  $n = 1849$  and at 2.991 dB for  $n = 1998$ . Limiting the sum-product algorithm to at most 30 iterations, MacKay's randomly constructed code of length  $n = 1998$  is at 1.55 dB from capacity while the deterministically constructed code of length  $n = 1849$  is at 1.7 dB from capacity at a bit-error rate of  $10^{-6}$ .

A comparison of the deterministically and randomly constructed codes shows that the deterministically constructed codes almost achieve the same performance as the randomly constructed codes but it is much simpler to construct 4-cycle-free high-rate LDPC matrices (which almost achieve the bound (6)) by the deterministic method. Moreover, the deterministically constructed codes are fully described by the specification of the point set and the line set; thus, they have a very short description length compared to the randomly constructed codes.

<sup>4</sup>We would like to acknowledge D.J.C. Mackey for providing these codes via his web site.

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